

Regulatory Status Disclosure

AI Trade Flow — Advanced Education and Trading

Effective Date: [DATE] · Last Updated: [DATE]

1. Purpose

This Disclosure explains, in plain language, what AI Trade Flow LLC ("AI Trade Flow," "we") is and is not from a regulatory standpoint, so that members can make informed decisions about using the Services. It should be read together with the Terms of Service, Risk Disclosure Statement, and No Investment Advice Disclaimer.

2. What AI Trade Flow Is

AI Trade Flow is a U.S.-based **education and software company**. We sell memberships that provide access to trading education, livestream sessions, professional speaker events, community features, and software tools that connect — at the member's election and under the member's own API credentials — to an exchange account the member owns and controls.

3. What AI Trade Flow Is Not

AI Trade Flow is **not** registered as, and does not act as, any of the following: a broker-dealer or member of FINRA; an investment adviser registered with the SEC or any state; a commodity trading advisor, commodity pool operator, or futures commission merchant registered with the CFTC or member of the NFA; a national securities or derivatives exchange; a bank, trust company, or credit union; or a money services business or money transmitter registered with FinCEN or any state banking regulator.

4. Why Registration Is Not Required for Our Model

Our Services are structured so that activities that trigger those registrations do not occur on our platform: we do not hold, pool, transmit, or manage customer funds or digital assets; we do not act as counterparty to any trade; we do not provide individualized investment advice; we do not receive transaction-based compensation; and we do not operate a marketplace that matches buyers and sellers. All custody, execution, margin, and settlement occur at the member's own third-party exchange under that exchange's regulatory framework. This structural analysis has been reviewed by [COUNSEL NAME]; members should not treat it as legal advice to them.

5. Rules That Do Apply to Us

Although the registrations above do not apply to our model, AI Trade Flow remains subject to — and takes seriously — laws of general application, including: Federal Trade Commission rules on truthful advertising, endorsements, and testimonials; state consumer-protection and auto-renewal statutes; U.S. sanctions administered by OFAC; data-privacy laws described in our Privacy Policy; and intellectual-property law.

6. Your Exchange Is Your Regulated Counterparty

Leverage, order execution, custody, and asset listings are provided by the exchange you link, under its own licenses and rules. Whether a particular venue, product, or leverage level (including 10×) is available to you depends on that exchange's registrations and your eligibility under applicable U.S. law. Review your exchange's own regulatory disclosures before trading.

7. International & EEA Availability

The Services are designed for United States residents. We do not market the Services into the European Economic Area and have not sought authorization under the EU Markets in Crypto-Assets Regulation (MiCA), because we do not perform crypto-asset services — custody, exchange, execution, or advice — that would make us a crypto-asset service provider (CASP) there or elsewhere. If we expand internationally, we will assess and satisfy applicable local requirements first, and will update this Disclosure.

8. No Endorsement

No regulator has passed upon the merits of the Services, reviewed our educational content, or endorsed AI Trade Flow. Any statement to the contrary by any person is unauthorized and false.

9. Changes

Regulation of digital assets is evolving. We monitor developments and will update this Disclosure — and, where necessary, change or restrict features of the Services — to remain consistent with applicable law.

10. Questions

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This document is a template prepared for AI Trade Flow and must be reviewed by qualified legal counsel before publication or use.